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3-13-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Fernando José Barros  
Rodrigues da Silva

**Docket:** 13137

**Serial No.:** 09/638,078

**Group Art Unit:** 2762

**Filed:** August 14, 2000

**Dated:** February 26, 2001

**For:** SYSTEM AND METHOD FOR PROGRAMMING  
USING INDEPENDENT AND REUSABLE  
SOFTWARE UNITS

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Heineman, G.T., et al. "Emerging Technologies that Support a Software Process Life Cycle" IBM Systems Journal, Vol. 33, No. 3 1994, pages 501-529;
2. Barros, F.J., "Modeling Formalisms for Dynamic Structure Systems" ACM Transactions on Modeling and Computer Simulation, Vol. 7, No. 4, October 1997, pages 501-515;

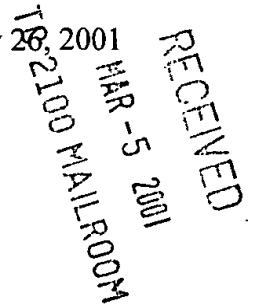
**CERTIFICATE OF MAILING UNDER 37 C.F.R. ' 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on February 26, 2001.

Dated: February 26, 2001

Michelle Mustafa

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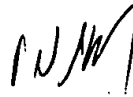


3. Lafever, D. "Visual Programming and Assistive Technology" *Dr. Dobb's Journal*, August 1999, pages 32-38;
4. Szyperski, C. "Component Software: Beyond Object-Oriented Programming" *Programming: Shades of Gray*, ACM Press, New York, pages 148-155; and
5. "The Art and Science of Smalltalk", Simon Lewis Prentice Hall/Hewlett-Packard Professional Books, 1995, <http://www.uk.hpl.hp.com/people/scrl/ArtAndScience/preface.html>.

Applicant is submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R§1.97(b), no petition, certification or fee is required. Consideration of this Information Disclosure Statement is respectfully requested.

Respectfully submitted,



Paul J. Esatto, Jr.  
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PJE:dra

GAU 2762 #2  
2128  
373-01

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13137

In Re Application Of: **Fernando Jose Barros Rodrigues da Silva**

Serial No.  
09/638,078

Filing Date  
August 14, 2000

Examiner  
Unassigned

Group Art Unit  
2762

Title: **SYSTEM AND METHOD FOR PROGRAMMING USING INDEPENDENT  
AND REUSABLE SOFTWARE**

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Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13137

In Re Application Of: **Fernando Jose Barros Rodrigues da Silva**

Serial No.  
09/638,078

Filing Date  
August 14, 2000

Examiner  
Unassigned

Group Art Unit  
2762

Title: **SYSTEM AND METHOD FOR PROGRAMMING USING INDEPENDENT  
AND REUSABLE SOFTWARE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-10138 SMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**\*This certificate may only be used if paying by deposit account.**

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on February 26, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

Dated: February 26, 2001

Signature

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